



Block Transfer Form

Application form

You'll need to complete this form to provide further details of any transfer payment that's part of a block transfer to an individual, group or company pension plan with Royal London.

1 Important information

This form should be completed by financial advisers only. Please read this section carefully before completing this application form.

- You should only complete this form if your client is making a transfer payment to an individual, group or company pension plan with Royal London that's part of a block transfer as defined in paragraph 22(6) of Schedule 36 of the Finance Act 2004 (as amended).
- You should answer each question fully and accurately. You should remember that you're acting on your client's behalf not only by providing advice, but also completing this form.
- Your completed form should be sent to us at **New Business Team, Royal London House, Alderley Park, Congleton Road, Nether Alderley, Macclesfield, SK10 4EL.**

2 Your client's details

This section should be completed by your financial adviser.

Name

Plan number

National Insurance number

3 Protected pension age

This section should be completed if your client has a protected pension age.

What type of pension scheme did your client acquire their protected pension age?

Occupational pension scheme

☐

Personal pension scheme

☐

What's your client's protected pension age?

4 Tax-free cash protection

This section should be completed if your client has tax-free cash protection.

Please tell us the amount to be protected
(i.e. the uncrystallised lump sum rights at 5 April 2006)

£

Please also tell us the value of the pension savings as at 5 April 2006
(i.e. the uncrystallised pension rights)

£

Please tell us the total amount of all partial transfer values paid out on or after
6 April 2006 from the transferring scheme.

£

5 How we'll use your client's information

Please read this section carefully

Please let your clients know that we'll use the information you've provided in this form to complete their transfer request, and make sure they pay the right amount of tax.

We'll also share their information with selected third party companies such as service providers, regulators and research companies. Their information may be used to allow us to complete regulatory checks and to help us provide a better service for our customers.

If they'd like to know more about how we use their information, including their rights, our lawful basis, who else we may share it with and how long we keep it for, they can take a look at our full privacy notice at royallondon.com/privacynotice

If they'd rather receive this information in another format, for example a recorded version, they can call us on **0800 0853252**.

6 Declaration

Please read this section carefully and complete.

- I confirm that the answers to all the questions on this form are true to the best of my knowledge and belief. I have checked with my client that these answers are correct.
- If further tax becomes payable because the information I have provided is proven to be incorrect then my client agrees to pay any tax charge due and any penalty that may be imposed by HM Revenue & Customs. My client also agrees to reimburse Royal London for any tax charges or penalty paid by them in respect of my plan.

Signature

Date

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